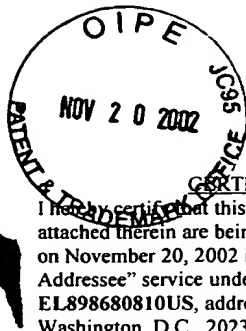


11-22-02

09/36347 CPA/1700 \$



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on November 20, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL898680810US, addressed to: Box CPA, Commissioner for Patents, Washington, D.C. 20231.

Attorney Docket No.: LAM1P126/P0562

First Named Inventor: BAILEY

#12
11/21/02
MD

Sue Funchess
Sue Funchess

**CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL
UNDER 37 CFR §1.53(d)**

Box CPA
Commissioner for Patents
Washington, D.C. 20231

RECEIVED
NOV 25 2002
TC 1700

This is a request for filing a

☒ Continuation ☐ Divisional

application under 37 CFR §1.53(d) (continued prosecution application) of prior pending application No. 09/536,347, filed on March 27, 2000, entitled METHOD AND APPARATUS FOR PLASMA FORMING INNER MAGNETIC BUCKET TO CONTROL A VOLUME OF A PLASMA.

Assigned to: Lam Research Corporation

- ☐ Do not publish this application. Nonpublication Request is attached.
- ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in
the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR
1.53(d)(4). DELETE the following inventor(s) named in the prior nonprovisional application:

- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- ☐ Information Disclosure Statement with Form PTO-Form 1449 is enclosed.

☐ Copies of IDS Citations

11/25/2002 NMOHAMM1 00000137 09536347

01 FC:1006

740.00 OP

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. § 1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	20	MINUS	20	= 0	x 18 =	\$-0-
INDEP.	2	MINUS	3	= 0	x 84 =	\$-0-
[] First presentation of multiple dependent claim					\$280 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$740 =	\$740.00
TOTAL						\$740.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ The Commissioner is hereby authorized to credit overpayments or to charge any fees due to Deposit Account No. 50-0388 (Order No. LAM1P126).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. LAM1P126).

☒ Check No. 2203 in the amount of \$740.00 is enclosed in payment of filing fee.

☐ Suspension of Prosecution for the time necessary to file an amendment is requested.

☐ An Extension of Time in the prior application is requested.

☐ A Conditional Extension of Time in the prior application is requested.

☐ Other:

☒ Please continue to send correspondence to the following address:

Customer Number 022434



Date: November 20, 2002

Michael Lee
Michael Lee
Registration No. 31,846



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: BAILEY

Application No.: 09/536,347

Filed: March 27, 2000

Title: METHOD AND APPARATUS FOR
PLASMA FORMING INNER MAGNETIC
BUCKET TO CONTROL A VOLUME OF A
PLASMA

Attorney Docket No.:
LAM1P126/P0562

Examiner: ALEJANDRO MULERO,
Luz L.

Group: 1763

#13C
12/21/02
mm

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 20, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10, Mailing Label Number **EL898680810US**, addressed to: Box CPA, Commissioner for Patents, Washington, D.C. 20231.

Signed: _____

Sue Funchess

Sue Funchess

AMENDMENT C

Box CPA
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

RECEIVED
NOV 25 2002
TC 1700

In response to the Office Action dated September 23, 2002, please amend the above-identified patent application as follows:

In the Claims

Please cancel claim 8.

Please amend claims 2 and 17, as follows:

2. (Twice Amended) A plasma processing apparatus for processing a substrate, comprising:
a process chamber, comprising:
a wall defining part of the process chamber;